

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Fumio Otake, et al.**

Serial Number: **Not Yet Assigned**

Filed: **September 12, 2003**

For: **OPTICAL MODULATOR AND METHOD OF MANUFACTURING THE SAME**

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

September 12, 2003

Sir:

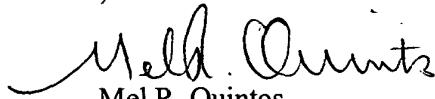
In compliance with 37 CFR 1.56, Applicants call to the attention of the Patent and Trademark Office the references listed on the attached PTO-1449.

A copy of each of the references are enclosed herewith.

In the event there are any fees due in connection with the filing of this paper, please charge
Deposit Account No. 01-2340.

Respectfully submitted,

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PATENT TRADEMARK OFFICE

Enclosures: PTO-1449; References (2)

INFORMATION DISCLOSURE STATEMENT PTO-1449	Atty. Docket No. 031138	Serial No. New Application
	Applicant(s): Fumio OTAKE, et al.	
	Filing Date: September 12, 2003	Group Art Unit: Not Yet Assigned

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
_____	AA					
_____	AB					
_____	AC					
_____	AD					

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Translation (Yes or No)
_____	AE			
_____	AF			
_____	AG			
_____	AH			
_____	AI			

OTHER DOCUMENTS

_____	AJ	L. Mori et al.; "Traveling Wave Electrodes for 50 Ghz Operation of Opto-Electronic Devices Based on InP"; 11 th International Conference on Indium Phosphide and Related Materials; Davos, Switzerland; May16-20 1999; pp.385-388./Discussed in the specification.
_____	AK	"Low Voltage, 50Ω, GaAs/AlGaAs Travelling-Wave Modulator with Bandwidth Exceeding 25 GHz"; <i>Electronics Letters</i> ; Vol. 25; No. 23; November 9, 1989; pp. 1549-1550./Discussed in the specification.
Examiner	Date Considered	